

APMI COMPLIANCE SUTRA CALENDAR			
Sr. No.	Month	Date of Compliance Sutra Meeting	Topics Covered
1	September '2023	14th September 2023	PMS Offline Surveillance Data and Notice for submission of data
2	September '2023	22nd September 2023	Queries raised by APMI Members & Discussion on PMS Offline Surveillance Data and Notice for submission of data
3	October '2023	06th October 2023	PMS Offline Surveillance Data and Notice for submission of data
4	October '2023	20th October 2023	Off-site Inspection and Surveillance of Portfolio Managers
5	November '2023	08th November 2023	Cyber Security and Cyber Resilience for Portfolio Managers
6	November '2023	22nd November 2023	Cyber Security and Cyber Resilience for Portfolio Managers
7	December '2023	08th December 2023	Redressal of investor grievances through the SEBI Complaint Redressal (SCORES) Platform and linking it to Online Dispute Resolution platform
8	December '2023	19th December 2023	Anti Money Laundering
9	January '2024	04th January 2024	Data Processing using Excel sheets
10	January '2024	19th January 2024	Off-site inspection data- Meeting with SEBI
11	February '2024	12th February 2024	Registration on FIU Portal
12	February '2024	23rd February 2024	CKYC - Central Know Your Customer
13	March '2024	12th March 2024	Annual Compliances with Reporting Timelines
14	March '2024	26th March 2024	PMS in Gift City
15	April '2024	19th April 2024	Related Party Transactions under SEBI (Portfolio Managers) Regulations, 2020 and Circulars issued thereunder
16	April '2024	26th April 2024	Incremental Compliances to be adhered as per SEBI (Investment Advisers) Regulations, 2013 for Investment Advisory Services under PMS
17	May '2024	17th May 2024	Accredited Investor (AI) under SEBI (Portfolio Managers) Regulations, 2020 and Circulars issued thereunder
18	May '2024	31st May 2024	Co -Investment in PMS
19	June '2024	18th June 2024	FATCA and US Canada Client
20	June '2024	28th June 2024	Distributors Registration on APMI portal
21	July'2024	26th July 2024	Performance Calculations by Portfolio Managers
22	August'2024	9th August 2024	Investment and Operations Due Diligence: Part 1
23	August'2024	23rd August 2024	Investment and Operations Due Diligence: Part 2
24	September'2024	5th September 2024	Cybersecurity and Cyber Resilience Framework for SEBI Regulated Entities Part I
25	September'2024	20th September 2024	Cybersecurity and Cyber Resilience Framework for SEBI Regulated Entities Part II